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PATENT Attorney Docket No. RVI-003 (9686/6)

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS:

Rowan et al.

CONFIRMATION NO.:

9566

SERIAL NO.:

10/780,004

**GROUP NO.:** 

2171

FILING DATE:

February 17, 2004

EXAMINER:

Not yet assigned

TITLE:

VIRTUAL DATA STORE CREATION AND USE

#### CERTIFICATE OF FIRST CLASS MAILING UNDER 37 C.F.R. 1.8

I hereby certify that this correspondence, and any documents referred to as enclosed herein, are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 8<sup>th</sup> day of December, 2004.

Alison R. Sher

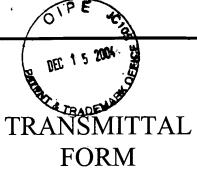
Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

#### Submitted herewith are:

- 1. Transmittal Form (1 pg.);
- 2. Supplemental Information Disclosure Statement (3 pgs.);
- 3. Form PTO-1449 (12 pgs.);
- 4. Copies of Cited References B1-B9 and C1-C32; and
- 5. Return Receipt Postcard.

3147505.



Application Serial Number	10/780,004
Filing Date	February 17, 2004
First Named Inventor	Rowan
Group Art Unit	2171
Examiner Name	Not yet assigned
Attorney Docket No.	RVI-003
Patent No.	Not applicable
Issue Date	Not applicable

1 ORIVI			Attorney Do	ley Docket No. RVI-003		
			Patent No.		Not a	applicable
			Issue Date		Not a	applicable
		EN	CLOSURES (ci	heck all that apply)		
☐ F	ee Transmittal Form		Copy of Notic Parts of Appli	e to File Missing cation		Notice of Appeal to Board of Patent Appeals and Interferences
	☐ Check Attached ☐ Copy of Fee Transmittal Form		Formal Drawin	ng(s)		Appeal Brief (in triplicate)
	Amendment/Response / Preliminary		Request For C Examination ( Transmittal			Status Inquiry
	After Final Affidavits/declaration(s)		Power of Atto	rnev	$\boxtimes$	Return Receipt Postcard
	Letter to Official Draftsperson including Drawings			f Prior Powers)	$\boxtimes$	Certificate of First Class Mailing under 37 C.F.R. 1.8
	[Total Sheets]		Terminal Disclaimer			Certificate of Facsimile Transmission under 37 C.F.R. 1.8
			aration and Power r Utility or Design ation		Additional Enclosure(s) (please identify below)	
$\boxtimes$	Supplemental Information Disclosure Statement (3 pgs.)  Form PTO-1449 (12		Small Entity S	tatement		
	pgs.) Copies of Cited References (B1-B9, C1- C32)		CD(s) for large	e table or computer		
	Certified Copy of Priority Document(s)		Amendment A	fter Allowance		
Sequence Listing submission Paper Copy/CD Computer Readable Copy Statement verifying identity of above		Request for Co Correction Certificate duplicate)		of Correction (in		
CORR	ESPONDENCE ADDRESS			SIGNATURE BLO	OCK	
Direct all correspondence to: Patent Adm Testa, Hurw High Street 125 High St Boston, MA Tel. No.: (6 Fax No.: (6		witz & Thi t Tower Street A 02110 617) 248-7	ibeault, LLP	Date: December 8, 2 Reg. No. 41,059 Tel. No.: (617) 248- Fax No.: (617) 248-	7176	Respectfully submitted,  Ira V. Hetran Attorney for the Applicants Testa, Hurwitz & Thibeault, LLP High Street Tower 125 High Street Roston, MA, 02110



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VIRTUAL DATA STORE CREATION AND USE

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

### SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.97 and 1.98, Applicants hereby make of record the patents and publications listed on the accompanying Form PTO-1449, and other information contained herein, for consideration by the Examiner in connection with the examination of the above-identified patent application. In accordance with the U.S. Patent Office's partial waiver of the requirement under 37 C.F.R. 1.98(a)(2)(i), only copies of the foreign patent documents (B1-B9) and non-patent publications (C1-C32) are enclosed.

Applicants would also like to direct the Examiner's attention to the following related pending patent applications:

Serial No.	Applicant(s)	Filing Date
10/668,833	Rowan et al.	9/23/03
10/778,436	Rowan et al.	2/13/04
10/778,561	Rowan et al.	2/13/04
10/799,428	Rowan et al.	3/12/04
10/924,669	Rowan et al.	8/24/04
10/924,655	Passerini et al.	8/24/04
10/924,656	Passerini	8/24/04

Serial No.	Applicant(s)	Filing Date
10/924,582	Passerini	8/24/04
10/924,560	Perry	8/24/04
10/924,640	Delgado et al.	8/24/04
10/924,559	Darcy	8/24/04
10/924,646	Rowan et al.	8/24/04
10/924,668	Perry et al.	8/24/04
10/924,652	Rowan et al.	8/24/04

## **REMARKS**

In accordance with the provisions of 37 C.F.R. 1.97, this statement is being filed (CHECK ONE):

(1)	within three (3) months of the <b>filing date</b> of a national application other than a continued prosecution application under 37 C.F.R. 1.53(d), or within three (3) months of the <b>date of entry of the national stage</b> as set forth in 37 C.F.R. 1.491 in an international application, or before the mailing of the <b>first Office action</b> on the merits, or before the mailing of a <b>first Office action</b> after the filing of a request for continued examination under 37 C.F.R. 1.114; or
(2)	after the period defined in (1) but before the mailing date of a final action or a notice of allowance under 37 C.F.R. 1.311, and
	the requisite Statement is below, <b>OR</b>
	the requisite fee under 37 C.F.R. 1.17(p), namely \$180.00, is included herein, or
(3)	after the mailing date of a <b>final action</b> or <b>notice of allowance</b> but before the payment of the <b>issue fee</b> , <b>AND</b>
	the requisite Statement is below, AND
	the requisite petition fee under 37 C.F.R. 1.17(p), namely \$180.00 is included herein.

It is respectfully requested that each of the patents and publications listed on the attached Form PTO-1449, and other information contained herein, be made of record in this application.

#### **STATEMENT**

As required under 37 C.F.R. 1.97(e), Applicants, through the undersigned, hereby state either that [check the appropriate space only if either (2) or (3) is checked on the previous page <u>and</u> the Statement is required]:

- 1. Each item of information contained in the Supplemental Information Disclosure
  Statement was first cited in any communication from a foreign patent office in a
  counterpart foreign application **not more than three months** prior to the filing
  of the Supplemental Information Disclosure Statement; or
- 2. No item of information contained in the Supplemental Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this Statement after making reasonable inquiry, no item of information contained in the Supplemental Information Disclosure Statement was known to any individual designated in 37 C.F.R. 1.56(c) more than three months prior to the filing of the Supplemental Information Disclosure Statement.

Date: December 8, 2004

Reg. No. 41,059

Tel. No.: (617) 248-7176 Fax No.: (617) 248-7100 Respectfully submitted,

Ira V. Heffah

Attorney for the Applicants
Testa, Hurwitz & Thibeault, LLP

High Street Tower 125 High Street

Boston, Massachusetts 02110

3147537



### SUPPLEMENTAL INFORMATION DISCLOSURE **STATEMENT**

ATTORNEY DOCKET NO.: RVI-003

APPLICANTS: Rowan et al.

SERIAL NO.: 10/780,004

FILING DATE: February 17, 2004

GROUP: 2171

EXAM. INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	A38	3,444,528	5/13/69	Lovell et al.			
	A39	3,533,082	10/6/70	Schnabel et al.			
_	A40	3,715,729	2/6/73	Mercy			
	A41	4,141,066	2/20/79	Keiles			
	A42	4,156,907	5/29/79	Rawlings et al.			
	A43	4,164,017	8/7/79	Randell et al.			
	A44	4,191,996	3/4/80	Chesley			
•	A45	4,351,023	9/21/82	Richer			
	A46	4,378,588	3/29/83	Katzmann et al.			
	A47	4,403,303	9/6/83	Howes et al.			
	A48	4,453,215	6/5/84	Reid			
	A49	4,459,658	7/10/84	Gabbe et al.			
<u> </u>	A50	4,479,214	10/23/84	Ryan			
	A51	4,483,001	11/13/84	Ryan			
	A52	4,484,275	11/20/84	Katzman et al.			
	A53	4,486,826	12/4/84	Wolff et al.			,
	A54	4,498,145	2/5/85	Baker et al.			
	A55	4,507,751	3/26/85	Gawlick et al.			
	A56	4,521,847	6/4/85	Ziehm et al.			
	A57	4,581,701	4/8/86	Hess et al.			
	A58	4,607,365	8/19/86	Greig et al.			
	A59	4,608,688	8/26/86	Hansen et al.			
	A60	4,639,856	1/27/87	Hrustich et al.			

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	A61	4,648,031	3/3/87	Jenner			
	A62	4,652,940	3/24/87	Sumiyoshi			
•	A63	4,654,819	3/31/87	Stiffler et al.			
	A64	4,654,857	3/31/87	Samson et al.			
	A65	4,674,038	6/16/87	Brelsford et al.			
	A66	4,703,421	10/27/87	Abrant et al.			
	A67	4,703,481	10/27/87	Fremont			
	A68	4,713,811	12/15/87	Frey		-	
	A69	4,727,516	2/23/88	Yoshida et al.			
	A70	4,736,339	4/5/88	Crabbe, Jr.			
	A71	4,750,177	6/7/88	Hendrie et al.			
	A72	4,754,397	6/28/88	Varaiya et al.			
	A73	4,819,154	4/4/89	Stiffler et al.			
	A74	4,878,167	10/31/89	Kapulka et al.			
	A75	4,959,774	9/25/90	Davis			
	A76	5,089,958	2/18/92	Horton et al.			
	A77	5,212,784	5/18/93	Sparks			
	A78	5,241,670	8/31/93	Eastridge et al.			
	A79	5,255,270	10/19/93	Yanai et al.			
	A80	5,269,022	12/7/93	Shinjo et al.			
	A81	5,297,258	3/22/94	Hale et al.			
	A82	5,331,646	7/19/94	Krueger et al.			
<u></u>	A83	5,339,406	8/16/94	Carney et al.			
	A84	5,357,509	10/18/94	Ohizumi			

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EXAM. INIT.		DOCUMENT ; NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	A85	5,404,361	4/4/95	Casoro et al.			
	A86	5,404,508	4/4/95	Konrad et al.			
	A87	5,450,546	9/12/95	Krakirian	-		
,	A88	5,469,573	11/21/95	McGill, III et al.			
	A89	5,483,468	1/9/96	Chen et al.			
	A90	5,487,160	1/23/96	Bemis			
	A91	5,524,205	6/4/96	Lomet et al.			
	A92	5,530,846	6/25/96	Strong			
	A93	5,535,188	7/9/96	Dang et al.			
	A94	5,557,770	9/17/96	Bhide et al.			
	A95	5,572,659	11/5/96	Iwasa et al.			
	A96	5,592,648	1/7/97	Schultz et al.			
	A97	5,598,528	1/28/97	Larson et al.			
	A98	5,604,853	2/18/97	Nagashima			
	A99	5,604,862	2/18/97	Midgely et al.			
	A100	5,623,598	4/22/97	Voigt et al.			
	A101	5,634,096	5/27/97	Baylor et al.			
	A102	5,638,509	6/10/97	Dunphy et al.			·
	A103	5,640,561	6/17/97	Satoh et al.			
	A104	5,649,152	7/15/97	Ohran et al.			
	A105	5,659,747	8/19/97	Nakajima			
	A106	5,677,952	10/14/97	Blakley, III et al.			
	A107	5,715,438	2/3/98	Silha			
	A108	5,717,849	2/10/98	Brady			

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EXAM. INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	A109	5,724,501	3/3/98	Dewey et al.			
	A110	5,729,719	3/17/98	Gates			
	A111	5,740,397	4/14/98	Levy			
	A112	5,740,433	4/14/98	Carr et al.			
	A113	5,742,792	4/21/98	Yanai et al.			
	A114	5,751,936	5/12/98	Larson et al.			
	A115	5,758,057	5/26/98	Baba et al.			
	A116	5,761,680	6/2/98	Cohen et al.			
	A117	5,777,874	7/7/98	Flood et al.			
	A118	5,778,392	7/7/98	Stockman et al.			
	A119	5,799,141	8/25/98	Galipeau et al.			
	A120	5,802,264	9/1/98	Chen et al.			·
	A121	5,809,340	9/15/98	Bertone et al.			
	A122	5,829,045	10/27/98	Motoyama			
_	A123	5,829,046	10/27/98	Tzelnic et al.			
	A124	5,835,915	11/10/98	Carr et al.			
	A125	5,835,953	11/10/98	Ohran			
	A126	5,857,208	1/5/99	Ofek			
	A127	5,893,140	4/6/99	Vahalia et al.		,	
	A128	5,907,685	5/25/99	Douceur			
	A129	5,933,368	8/3/99	Ma et al.			
	A130	5,961,613	10/5/99	DeNicola			
	A131	5,982,886	11/9/99	Itami et al.			
	A132	5,996,088	11/30/99	Frank et al.			

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	A133	6,000,020	12/7/99	Chin et al.			
	A134	6,012,145	1/4/00	Mathers et al.			
	A135	6,014,690	1/11/00	Van Doren et al.			
	A136	6,018,746	1/25/00	Hill et al.			
,	A137	6,035,306	3/7/00	Lowenthal et al.			
	A138	6,041,420	3/21/00	Skarpelos et al.			
	A139	6,044,444	3/28/00	Ofek			
	A140	6,054,987	4/25/00	Richardson			
	A141	6,061,770	5/9/00	Franklin			
	A142	6,064,247	5/16/00	Krakirian			
	A143	6,078,990	6/20/00	Frazier			
	A144	6,079,000	6/20/00	Cooper et al.			
	A145	6,081,877	6/27/00	Taki			
	A146	6,085,200	7/4/00	Hill et al.			
	A147	6,122,664	9/19/00	Boukobza et al.			
	A148	6,125,404	9/26/00	Vaglica et al.			
	A149	6,131,148	10/10/00	West et al.			
	A150	6,138,243	10/24/00	Mealey et al.			
	A151	6,173,377 B1	. 1/9/01	Yanai et al.			
,	A152	6,205,527 B1	3/20/01	Goshey et al.			
	A153	6,230,282 B1	5/8/01	Zhang			
	A154	6,243,105 B1	6/5/01	Hoyer et al.			
	A155	6,347,365 B1	2/12/02	Leshem et al.			
	A156	6,357,015 B1	3/12/02	Yamakawa et al.			

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EXAM. INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	A157	6,363,487 B1	3/26/02	Schneider			
	A158	6,366,987 B1	4/2/02	Tzelnic et al.			
	A159	6,369,820 B1	4/9/02	Bertram et al.			
	A160	6,374,363 B1	4/16/02	Wu et al.			
	A161	6,381,635 B1	4/30/02	Hoyer et al.			
	A162	6,385,707 B1	5/7/02	Maffezoni			
	A163	6,430,577 B1	8/6/02	Hart			
	A164	6,434,681 B1	8/13/02	Armangau			
	A165	6,434,710 B1	8/13/02	Sato et al.			
	A166	6,446,090 B1	9/3/02	Hart			
	A167	6,477,629 B1	11/5/02	Goshey et al.			
	A168	6,499,034 B1	12/24/02	Mackinlay			
	A169	6,505,248 B1	1/7/03	Casper et al.			
	A170	6,532,535 B1	3/11/03	Maffezoni et al.			
	A171	6,535,967 B1	3/18/03	Milillo et al.			
	A172	6,542,975 B1	4/1/03	Evers et al.			
	A173	6,549,992 B1	4/15/03	Armangau et al.			
	A174	6,553,392 B1	4/22/03	Mosher, Jr. et al.			·
	A175	6,557,089 B1	4/29/03	Reed et al.			
	A176	6,560,614 B1	5/6/03	Barboy et al.			
	A177	6,581,185 B1	6/17/03	Hughes			
	A178	6,594,744 B1	7/15/03	Humlicek et al.			
	A179	6,618,794 B1	9/9/03	Sicola et al.			
	A180	6,622,263 B1	9/16/03	Stiffler et al.			

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EXAM. INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	A181	6,643,671 B2	11/4/03	Milillo et al.			
# # · · · ·	A182	6,651,075 B1	11/18/03	Kusters et al.			
	A183	6,664,964 B1	12/16/03	Levin-Michael et al.			
	A184	6,665,779 B1	12/16/03	Polfer et al.			
	A185	6,667,743 B2	12/23/03	Bertram et al.			
	A186	6,684,229 B1	1/27/04	Luong et al.			
	.A187	6,687,322 B1	2/3/04	Zhang et al.			
	A188	6,691,245 B1	2/10/04	DeKoning			
	A189	6,694,413 B1	2/17/04	Mimatsu et al.			
	A190	6,701,456 B1	3/2/04	Biessener			
	A191	6,704,730 B2	3/9/04	Moulton et al.	-		
	A192	6,711,572 B2	3/23/04	Zakharov et al.			
	A193	6,711,693 B1	3/23/04	Golden et al.			
	A194	6,732,125 B1	5/4/04	Autrey et al.			
-	A195	6,732,171 B2	5/4/04	Hayden		_	
	A196	6,732,293 B1	5/4/04	Schneider			
	A197	2001/0013100 A1	8/9/01	Doblar et al.			
	A198	2001/0056438 A1	12/27/01	Ito			
	A199	2002/0010872 A1	1/24/02	Van Doren et al.			
	A200	2002/0103982 A1	8/1/02	Ballard et al.			
	A201	2002/0133512 A1	9/19/02	Milillo et al.			
	A202	2002/0144044 AI	10/3/02	Moon et al.			
	A203	2002/0199073 A1	12/26/02	Tamura et al.			
	A204	2003/0006988 A1	1/9/03	Alford, Jr. et al.			

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EXAM. INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	A205	2003/0014605 A1	1/16/03	Slater et al.			
	A206	2003/0018657 A1	1/23/03	Monday			
	A207	2003/0028726 A1	2/6/03	Gaertner et al.			
	A208	2003/0051109 A1	3/13/03	Cochran			
	A209	2003/0056136 A1	3/20/03	Aweya et al.	<u> </u>		
	A210	2003/0061331 A1	3/27/03	Nakamura et al.			
	A211	2003/0061456 A1	3/27/03	Ofek et al.			
	A212	2003/0088807 A1	5/8/03	Mathiske et al.			
	A213	2003/0126387 A1	7/3/03	Watanabe			·
	A214	2003/0140070 A1	7/24/03	Kaczmarski et al.	-		
	A215	2003/0140209 A1	7/24/03	Testardi			
	A216	2003/0167380 A1	9/4/03	Green et al.			
<u> </u>	A217	2003/0177321 A1	9/18/03	Watanabe			
	A218	2003/0191829 A1	10/9/03	Masters et al.			
	A219	2003/0204700 A1	10/30/03	Biessener et al.			
	A220	2003/0225884 A1	12/4/03	Hayden			
	A221	2004/0030951 A1	2/12/04	Armangau			
	A222	2004/0117572 A1	6/17/04	Welsh et al.			
	A223	2004/0139128 A1	7/15/04	Becker et al.			

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**FORM PTO – 1449** 

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EXAM. INIT.		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	FILING DATE	ABSTRACT ONLY	ENGLISH LANG (Y/N)
	Bl	WO 91/01026	1/24/91	wo			6/28/90	N	Y
	B2	WO 96/12232	4/25/96	wo			10/10/95	N	Y
	В3	WO 99/12101	3/11/99	wo			9/4/98	. N	Y
	B4	WO 00/65447	11/2/00	wo			4/24/00	N	Y
	В5	WO 01/04801 A1	1/18/01	wo			7/10/00	N	Y
	В6	WO 01/33357 A1	5/10/01	wo			10/26/00	N	Y
	В7	WO 03/007148 A1	1/23/03	wo			7/15/02	N	Y
	B8	EP 1 091 229 A2	4/11/01	EP			10/6/00	N	Y
	B9	EP 0 751 462 B1	7/31/02	EP			4/26/96	N	Y

# SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

ATTORNEY DOCKET NO.: RVI-003

APPLICANTS: Rowan et al.

SERIAL NO.: 10/780,004

FILING DATE: February 17, 2004

		•	TILING DATE. Teoluary 17, 2004				
			GROUP: 2171				
		OTHER ART, JOURN	AL ARTICLES, ETC.				
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SUPPLEMENTAL INFORMATION DISCLOSURE			APPLICANTS: Rowan et al.	
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